

## Guardians of New Zealand Superannuation - Hospitality Register 1 July 2019 – 30 June 2020

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	Manager	100	29/06/2020	Fidelity Life Assurance	
Working Meal	Head	50	26/06/2020	Russell McVeagh	
Working Meal	Manager	50	26/06/2020	Mercure Hotel Wellington	
Working Meal	Manager	50	17/06/2020	Macquarie	
Working Meal	Senior Analyst	50	17/06/2020	Macquarie	
Working Meal	Senior Analyst	50	17/06/2020	Macquarie	
Working Meal	Analyst	50	17/06/2020	Macquarie	
Working Meal	Manager	50	11/06/2020	Forsyth Barr	

**C1 - Public**

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Working Meal	Senior Analyst	50	11/06/2020	Forsyth Barr	
Working Meal	Economist	50	30/03/2020	BCA	
Other	Senior Tax Advisor	600	24/03/2020	Tax Traders	Attendance to CFO Summit
Working Meal	Manager	50	19/03/2020	Blackrock	
Working Meal	Head	50	19/03/2020	Blackrock	
Working Meal	Analyst, External Investments and Partnerships	50	19/03/2020	Blackrock	
Other	Analyst	100	18/03/2020	Trans-Tasman Business Circle	Complimentary tickets to a Business Circle boardroom briefing
Working Meal	Head	50	17/03/2020	Heather Murray	
Working Meal	Senior Investment Strategist	50	17/03/2020	Heather Murray	
Other	Senior Investment Strategist	50	12/03/2020	Rank Group	Refreshments
Other	Head	50	12/03/2020	Rank Group	Refreshments

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Other	Manager	50	12/03/2020	Rank Group	Refreshments
Other	Manager	50	12/03/2020	Rank Group	Refreshments
Other	Senior Investment Strategist	50	12/03/2020	Rank Group	Refreshments
Other	Manager	50	12/03/2020	Rank Group	Refreshments
Working Meal	Senior Tax Advisor	50	12/03/2020	HCVT	
Working Meal	Head	50	12/03/2020	HCVT	
Other	CIO	50	10/03/2020	Farmright	Dinner
Other	Manager	50	10/03/2020	Farmright	Dinner
Other	Analyst Direct Investments	50	10/03/2020	Farmright	Dinner
Working Meal	Senior Tax Advisor	50	9/03/2020	Deloitte - US	
Working Meal	Head	50	9/03/2020	Deloitte - US	
Working Meal	CIO	100	6/03/2020	Hillwood Investment Partners	
Working Meal	Manager	100	6/03/2020	Hillwood Investment Partners	
Drinks	Economist	50	5/03/2020	Women in M&A	

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Other	Legal Counsel	50	5/03/2020	Webb Henderson	Invitation to women in M&A event. Light refreshments provided
Drinks	Head	50	5/03/2020	Webb Henderson	
Working Meal	Analyst	50	5/03/2020	Webb Henderson	
Working Meal	Head	50	5/03/2020	Fitch Ratings	
Working Meal	CIO	50	5/03/2020	FCLT	
Other	Tax Advisor	50	5/03/2020	EY	Future Tax Leaders' Forum
Other	Senior Investment Strategist	50	5/03/2020	Deloitte	Directors' Forum
Drinks	Legal Counsel	50	4/03/2020	Venture Capital Fund NZ	
Drinks	Legal Counsel	50	4/03/2020	Venture Capital Fund NZ	
Drinks	GM	50	4/03/2020	Venture Capital Fund NZ	
Other	Senior Tax Advisor	150	4/03/2020	PWC	Meals at PWC Tax Director Forum
Other	Head	150	4/03/2020	PWC	Meals at PWC Tax Director Forum

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Other	Head	50	4/03/2020	NZ Venture Investment Fund Ltd	Launch
Drinks	CEO	50	4/03/2020	NZ Venture Investment Fund Ltd	
Drinks	Board Member	50	4/03/2020	NZ Venture Investment Fund Ltd	
Drinks	Board Member	50	4/03/2020	NZ Venture Investment Fund Ltd	
Drinks	Board Member	50	4/03/2020	NZ Venture Investment Fund Ltd	
Other	GM	50	4/03/2020	NZ Venture Investment Fund Ltd	Launch
Working Meal	CIO	100	4/03/2020	FCLT	
Working Meal	Head	125	4/03/2020	Ambassador of France to New Zealand	
Working Meal	GM	50	3/03/2020	Tourism Holdings Ltd	
Working Meal	Head	50	3/03/2020	Minter Ellison Rudd Watts	
Other	Manager	100	3/03/2020	Horizon Roads	Director/Investors dinner following Board meeting
Drinks	GM	50	2/03/2020	Russell McVeagh	
Drinks	Head	50	2/03/2020	Russell McVeagh	
Other	Senior Tax Advisor	50	2/03/2020	Russell McVeagh	Dinner at networking event

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Other	Tax Advisor	50	2/03/2020	Russell McVeagh	Dinner at networking event
Other	Legal Counsel	50	2/03/2020	Russell McVeagh	Dinner at Q&A event
Drinks	GM	50	2/03/2020	Russell McVeagh	
Working Meal	Head	120	2/03/2020	QIC	
Working Meal	Manager Enterprise Risk	120	2/03/2020	QIC	
Working Meal	Manager Portfolio Risk	120	2/03/2020	QIC	
Working Meal	Analyst	50	2/03/2020	Horizon Roads	
Other	Senior Tax Advisor	100	28/02/2020	Chapman Tripp	Dinner
Other	Senior Tax Advisor	100	28/02/2020	Chapman Tripp	Dinner
Other	Head	100	28/02/2020	Chapman Tripp	Dinner
Working Meal	GM	50	27/02/2020	Shoreline Consulting	
Working Meal	Head	173	26/02/2020	Trans-Tasman Business Circle	
Working Meal	Head	50	26/02/2020	Teresa Farac Limited	
Other	CIO	400	26/02/2020	Clocktower Group	Meals at 3 day conference
Other	Head	400	26/02/2020	Clocktower Group	Meals at 3 day conference

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Drinks	Senior Communications Strategist	50	26/02/2020	BusinessNZ	
Drinks	Head	50	26/02/2020	Business Desk	
Working Meal	Head	50	25/02/2020	State Street Global Advisors	
Working Meal	Head	50	24/02/2020	The Carlyle Group	
Other	Strategic Development Advisor	50	21/02/2020	NZ Venture Investment Fund Ltd	Launch
Drinks	Board Member	50	20/02/2020	RBNZ	
Drinks	Board Member	50	20/02/2020	RBNZ	
Drinks	Head	50	20/02/2020	RBNZ	
Drinks	GM	50	20/02/2020	RBNZ	
Drinks	CEO	50	20/02/2020	RBNZ	
Drinks	Head	50	20/02/2020	PWC	
Other	Head	200	20/02/2020	Kiwibank	Kiwibank NZ of the Year Awards
Working Meal	Head	50	19/02/2020	ISS	

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Working Meal	Senior Investment Strategist	50	19/02/2020	ISS	
Working Meal	Senior Investment Strategist	50	19/02/2020	ISS	
Working Meal	Head	100	19/02/2020	Hillwood Investment Partners	
Working Meal	Manager	100	19/02/2020	Hillwood Investment Partners	
Working Meal	Senior Investment Strategist	100	19/02/2020	Hillwood Investment Partners	
Working Meal	Head	50	18/02/2020	SweeneyVesty	
Working Meal	Senior IT Support Analyst	50	18/02/2020	ServiceNow	
Working Meal	Application Administrator	50	18/02/2020	ServiceNow	
Working Meal	Manager	50	17/02/2020	Robeco	
Other	Head	100	17/02/2020	EY	Meals at Tax Directors Forum
Working Meal	Senior Investment Strategist	50	13/02/2020	MSCI	
Drinks	Head	50	13/02/2020	Australian High Commission	



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Drinks	CIO	50	13/02/2020	Australian High Commission	
Drinks	GM	50	13/02/2020	Australian Consula-General	
Working Meal	Head	50	13/02/2020	ASB	
Working Meal	Analyst	50	12/02/2020	PWC	
Working Meal	Head	50	12/02/2020	PWC	
Other	Head	50	12/02/2020	Ngati Whatua / Chapman Tripp	Gathering on advances in Treaty settlements and negotiations
Working Meal	GM	150	12/02/2020	Citibank	
Working Meal	Portfolio Manager	150	12/02/2020	Citibank	
Working Meal	Senior Operations Analyst	100	10/02/2020	JP Morgan	
Working Meal	Investment Operations Analyst	100	10/02/2020	JP Morgan	
Other	Strategic Development Advisor	50	10/02/2020	Business Desk	Launch
Working Meal	Manager	100	5/02/2020	Canyon	

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Working Meal	Analyst	100	3/02/2020	Ortec Finance	
Working Meal	Manager Portfolio Risk	100	3/02/2020	Ortec Finance	
Working Meal	Senior Portfolio Risk Analyst	100	3/02/2020	Ortec Finance	
Working Meal	Head	100	3/02/2020	Bridgewater	
Working Meal	Manager	100	3/02/2020	Bridgewater	
Working Meal	Senior Investment Strategist	100	3/02/2020	Bridgewater	
Working Meal	Senior Investment Strategist	100	3/02/2020	Bridgewater	
Working Meal	CIO	100	2/02/2020	Bridgewater	
Working Meal	Manager	100	2/02/2020	Bridgewater	
Working Meal	Analyst	100	2/02/2020	Bridgewater	
Working Meal	Manager	50	31/01/2020	Russell Property Group	
Working Meal	Senior Investment Strategist	50	31/01/2020	Russell Property Group	

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Working Meal	Senior Investment Strategist	50	31/01/2020	Russell Property Group	
Working Meal	Legal Counsel	50	31/01/2020	Russell Property Group	
Working Meal	Head	50	31/01/2020	Russell Property Group	
Working Meal	Analyst Direct Investments	50	31/01/2020	Russell Property Group	
Working Meal	Analyst, External Investments and Partnerships	50	31/01/2020	AQR	
Working Meal	Head	100	31/01/2020	AQR	
Working Meal	Senior Investment Strategist	100	31/01/2020	AQR	
Working Meal	Analyst, External Investments and Partnerships	100	31/01/2020	AQR	
Other	Senior Tax Advisor	50	30/01/2020	TMNZ	Drinks/nibbles
Other	Senior Tax Advisor	50	30/01/2020	TMNZ	Drinks/nibbles
Other	Tax Advisor	50	30/01/2020	TMNZ	Drinks/nibbles

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Other	Head	50	30/01/2020	TMNZ	Drinks/nibbles
Working Meal	Senior Communications Strategist	50	30/01/2020	BusinessNZ	
Working Meal	Manager	50	29/01/2020	Russell Property Group	
Working Meal	Senior Communications Strategist	50	29/01/2020	McGredy Winder	
Working Meal	Head	50	29/01/2020	McGredy Winder	
Working Meal	Analyst	50	24/01/2020	Bridgewater	
Working Meal	Manager	50	24/01/2020	Bridgewater	
Working Meal	Manager	50	23/01/2020	Two Sigma	
Working Meal	Analyst	50	23/01/2020	Two Sigma	
Drinks	GM	100	23/01/2020	RBNZ	
Working Meal	Manager	50	22/01/2020	Elementum	
Working Meal	Analyst	50	22/01/2020	Elementum	
Other	Investment Operations Analyst	780	21/01/2020	Northern Trust	Complimentary pass to the Investment Operations Conference

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Other	Senior Operations Analyst	780	21/01/2020	Northern Trust	Complimentary pass to the Investment Operations Conference
Working Meal	Portfolio Manager	100	21/01/2020	NAB	
Working Meal	Portfolio Manager	100	21/01/2020	NAB	
Working Meal	Senior Tax Advisor	50	20/01/2020	Russell McVeagh	
Working Meal	Senior Tax Advisor	50	20/01/2020	Russell McVeagh	
Working Meal	Tax Advisor	50	20/01/2020	Russell McVeagh	
Working Meal	Head	50	20/01/2020	Russell McVeagh	
Working Meal	Head	50	9/01/2020	EQT	
Other	Senior Tax Advisor	100	20/12/2019	Teresa Farac Limited	End of year lunch
Other	Tax Advisor	100	20/12/2019	Teresa Farac Limited	End of year lunch
Other	Senior Tax Advisor	100	20/12/2019	Teresa Farac Limited	End of year lunch
Other	Head	100	20/12/2019	Teresa Farac Limited	End of year lunch
Other	Senior Tax Advisor	100	18/12/2019	Deloitte	Lunch
Other	Senior Tax Advisor	100	18/12/2019	Deloitte	Lunch

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Other	Tax Advisor	100	18/12/2019	Deloitte	Lunch
Other	Tax Intern	100	18/12/2019	Deloitte	Lunch
Other	Head	100	18/12/2019	Deloitte	Lunch
Working Meal	Manager	100	17/12/2019	UBS	
Working Meal	Senior Analyst	100	17/12/2019	UBS	
Working Meal	Manager	100	17/12/2019	UBS	
Working Meal	Analyst	100	17/12/2019	UBS	
Working Meal	Portfolio Manager	100	17/12/2019	UBS	
Working Meal	Manager Portfolio Risk	50	17/12/2019	Quantifi	
Working Meal	Senior Risk Analyst	50	17/12/2019	Quantifi	
Working Meal	Analyst	50	17/12/2019	Quantifi	
Working Meal	Senior Portfolio Risk Analyst	50	17/12/2019	Quantifi	
Working Meal	Head	50	16/12/2019	Webb Henderson	
Working Meal	Senior Advisor, Internal Audit	50	16/12/2019	PWC	

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Working Meal	Head	50	16/12/2019	PWC	
Working Meal	Head	120	16/12/2019	Kiwi Group Holdings	
Working Meal	Analyst	120	16/12/2019	Kiwi Group Holdings	
Other	Manager	100	16/12/2019	Forsyth Barr	Client croquet event
Other	Senior Analyst	100	16/12/2019	Forsyth Barr	Client croquet event
Working Meal	Senior Tax Advisor	50	13/12/2019	Farmright	
Working Meal	Senior Financial Accountant	50	13/12/2019	Farmright	
Working Meal	Tax Advisor	50	13/12/2019	Farmright	
Other	CIO	235	12/12/2019	Bridgewater	Innovation Awards Dinner
Working Meal	Head	50	11/12/2019	Mint Asset Management	
Working Meal	Head	50	11/12/2019	Mint Asset Management	
Working Meal	Senior Advisor	50	11/12/2019	Mint Asset Management	
Working Meal	Manager	50	11/12/2019	Mint Asset Management	
Working Meal	Analyst	50	11/12/2019	Mint Asset Management	

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Working Meal	Manager	50	11/12/2019	Mint Asset Management	
Working Meal	CIO	100	11/12/2019	Hillwood Investment Partners	
Working Meal	Senior Investment Strategist	50	10/12/2019	Southeastern Asset Management	
Working Meal	Head	50	10/12/2019	Funds SA	
Working Meal	Senior Communications Strategist	50	10/12/2019	Funds SA	
Working Meal	Senior Investment Strategist	50	10/12/2019	DWS	
Working Meal	Senior Investment Strategist	50	9/12/2019	Northern Trust	
Working Meal	Analyst, External Investments and Partnerships	50	9/12/2019	Northern Trust	
Working Meal	Head	50	9/12/2019	Northern Trust	
Working Meal	Manager	50	9/12/2019	Northern Trust	
Working Meal	Manager	50	9/12/2019	Northern Trust	



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Working Meal	Manager	50	9/12/2019	Northern Trust	
Working Meal	Senior Advisor	50	9/12/2019	Northern Trust	
Working Meal	Analyst	50	9/12/2019	Northern Trust	
Working Meal	Summer Intern, External Investments and Partnerships	50	9/12/2019	Northern Trust	
Other	Manager	50	9/12/2019	Information Leadership	Opening of Auckland Office event
Working Meal	Senior Investment Strategist	100	9/12/2019	Financial Times	
Drinks	Head	100	9/12/2019	Australian High Commission	
Drinks	Senior Advisor	100	9/12/2019	Australian High Commission	
Other	Senior Advisor	50	6/12/2019	NZTE	Maori Language awards evening
Working Meal	Senior Investment Strategist	100	5/12/2019	Northern Trust	
Working Meal	Head	50	5/12/2019	Devon Funds Management	
Working Meal	Senior Advisor	50	5/12/2019	Devon Funds Management	

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Working Meal	Senior Investment Strategist	50	5/12/2019	Devon Funds Management	
Working Meal	Manager	50	5/12/2019	Devon Funds Management	
Working Meal	Analyst	50	5/12/2019	Devon Funds Management	
Other	Head	100	5/12/2019	Deloitte	Top 200 Awards dinner
Working Meal	Head	100	5/12/2019	Deloitte	
Working Meal	Senior Analyst	100	5/12/2019	Deloitte	
Drinks	Head	50	5/12/2019	Datacom	
Working Meal	Senior Communications Advisor	100	4/12/2019	Insight Creative	
Working Meal	Head	100	4/12/2019	Insight Creative	
Working Meal	Senior Communications Strategist	100	4/12/2019	Insight Creative	
Working Meal	Strategic Development Advisor	50	4/12/2019	Insight Creative	
Working Meal	Senior Investment Strategist	100	4/12/2019	Global Investor Collaboration Services	

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Working Meal	CEO	100	2/12/2019	NZX	
Drinks	Senior Tax Advisor	50	2/12/2019	KPMG	
Drinks	Senior Tax Advisor	50	2/12/2019	KPMG	
Drinks	Tax Advisor	50	2/12/2019	KPMG	
Drinks	Head	50	2/12/2019	KPMG	
Working Meal	CEO	50	29/11/2019	BusinessNZ	
Drinks	Head	50	28/11/2019	Reserve Bank of NZ	
Working Meal	Portfolio Manager	100	28/11/2019	Morgan Stanley	
Working Meal	Head	100	28/11/2019	Morgan Stanley	
Working Meal	Senior Operations Analyst	100	27/11/2019	Northern Trust	
Working Meal	Manager	100	27/11/2019	Northern Trust	
Working Meal	Executive Assistant to CEO	50	27/11/2019	Diligent	
Working Meal	CEO	100	27/11/2019	BusinessNZ	
Drinks	CIO	50	25/11/2019	Kerridge & Partners	

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Working Meal	Manager	100	22/11/2019	Neuberger Berman	
Working Meal	Manager	100	22/11/2019	Neuberger Berman	
Working Meal	Analyst, External Investments and Partnerships	100	22/11/2019	Neuberger Berman	
Drinks	Legal Counsel	50	22/11/2019	Chapman Tripp	
Drinks	GM	50	22/11/2019	Chapman Tripp	
Drinks	Legal Counsel	50	22/11/2019	Chapman Tripp	
Drinks	A to GM Corporate Strategy / GM Finance and Risk	50	22/11/2019	Chapman Tripp	
Drinks	Legal Counsel	50	22/11/2019	Chapman Tripp	
Drinks	Head	50	22/11/2019	Chapman Tripp	
Other	Head	100	21/11/2019	TMNZ	Dinner for Corporate tax Managers at CAANZ Tax Conference
Working Meal	Senior Tax Advisor	100	21/11/2019	Tax Traders	

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Working Meal	Analyst	50	21/11/2019	Lazard Sydney	
Working Meal	Manager	50	21/11/2019	Lazard Sydney	
Working Meal	Portfolio Manager	100	20/11/2019	UBS	
Working Meal	Senior Tax Advisor	100	20/11/2019	Russell McVeagh	
Working Meal	Head	100	20/11/2019	Russell McVeagh	
Working Meal	Head	120	20/11/2019	CDPQ	
Working Meal	Head	120	20/11/2019	CDPQ	
Working Meal	Head	120	20/11/2019	CDPQ	
Other	Portfolio Manager	50	19/11/2019	JP Morgan	Nibbles/drinks at End of Year Function
Other	Senior Operations Analyst	50	19/11/2019	JP Morgan	Nibbles/drinks at End of Year Function
Working Meal	Senior Investment Strategist	50	18/11/2019	Morrison & Co	
Working Meal	Senior Investment Strategist	50	15/11/2019	Northern Trust	
Working Meal	Manager	50	15/11/2019	Northern Trust	

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Working Meal	Portfolio Manager	100	15/11/2019	Bank of America	
Working Meal	Manager	100	15/11/2019	Bank of America	
Working Meal	Legal Counsel	50	15/11/2019	Bank of America	
Working Meal	Head	50	15/11/2019	Bank of America	
Other	Head	100	13/11/2019	Tax Policy Scholarship Trust	Dinner
Other	Head	100	13/11/2019	Deloitte	Fraud Film Festival
Working Meal	Manager	100	12/11/2019	View Glass	
Other	GM	50	11/11/2019	Hobson Leavy	Drinks
Other	Manager	100	7/11/2019	Sky City Entertainment Group	Dinner
Working Meal	Head	100	7/11/2019	CDPQ	
Working Meal	Manager	50	6/11/2019	Pencarrow	
Drinks	Legal Counsel	50	6/11/2019	Chapman Tripp	
Working Meal	Manager	50	5/11/2019	Direct Capital	
Working Meal	Manager	1,200	4/11/2019	Masisa Assets	Meals during asset investment research

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Analyst	1,200	4/11/2019	Masisa Assets	Meals during asset investment research
Working Meal	Analyst Direct Investments	1,200	4/11/2019	Masisa Assets	Meals during asset investment research
Other	Senior Investment Strategist	100	4/11/2019	Blackrock	Dinner
Working Meal	Head	50	31/10/2019	Albourne Partners	
Working Meal	Head	50	30/10/2019	Green Investment Fund	
Working Meal	GM	50	30/10/2019	CDPQ	
Working Meal	Senior Investment Strategist	50	30/10/2019	Canada Line	
Working Meal	GM	50	30/10/2019	Canada Line	
Working Meal	Senior Advisor	50	30/10/2019	Canada Line	
Working Meal	Manager	50	30/10/2019	Bloomberg	
Working Meal	CIO	100	30/10/2019	Ashmore Group	
Working Meal	CEO	50	29/10/2019	Trans-Tasman Business Circle	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	GM	50	29/10/2019	CDPQ	
Other	Senior Investment Strategist	100	29/10/2019	CDPQ	Dinner
Other	GM	100	29/10/2019	CDPQ	Dinner
Other	Senior Advisor	100	29/10/2019	CDPQ	Dinner
Working Meal	Senior Investment Strategist	50	29/10/2019	CDPQ	
Working Meal	GM	50	29/10/2019	CDPQ	
Working Meal	Senior Advisor	50	29/10/2019	CDPQ	
Working Meal	CEO	100	28/10/2019	Future Fund	
Working Meal	GM	100	28/10/2019	CDPQ	
Working Meal	GM	50	28/10/2019	CDPQ	
Other	Executive Assistant to CEO	50	25/10/2019	Auckland Convention Bureau	Expo event
Other	Legal Counsel	50	24/10/2019	Russell McVeagh	Dinner
Working Meal	Portfolio Manager	100	24/10/2019	Blackrock	



**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Manager	100	24/10/2019	Blackrock	
Drinks	Head	50	24/10/2019	AlphaCert	
Other	Manager	50	22/10/2019	Vital Record Control	Conference welcoming event
Working Meal	Portfolio Manager	50	22/10/2019	TD Securities	
Working Meal	Manager	50	22/10/2019	TD Securities	
Working Meal	Head	50	22/10/2019	CDPQ	
Working Meal	Manager	50	21/10/2019	Northern Trust	
Working Meal	Portfolio Manager	50	21/10/2019	Northern Trust	
Working Meal	Portfolio Manager	100	21/10/2019	Northern Trust	
Working Meal	Manager	100	21/10/2019	Northern Trust	
Working Meal	CIO	100	20/10/2019	G30	
Drinks	CIO	50	19/10/2019	Morgan Stanley	
Working Meal	CIO	100	19/10/2019	JP Morgan	
Working Meal	CIO	100	19/10/2019	Citi-FT	
Working Meal	CIO	100	18/10/2019	JP Morgan	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Portfolio Manager	100	18/10/2019	BNZ	
Working Meal	Head	50	18/10/2019	BNZ	
Other	CIO	100	17/10/2019	RIAA	Cocktail Reception & Awards Ceremony
Working Meal	CIO	100	17/10/2019	JP Morgan	
Drinks	Head	50	17/10/2019	Chapman Tripp	
Drinks	Legal Counsel	50	17/10/2019	Chapman Tripp	
Working Meal	CIO	100	15/10/2019	Financial Times	
Other	Head	100	14/10/2019	PWC	Chief Audit Executive roundtable dinner
Working Meal	A to GM Corporate Strategy / GM Finance and Risk	50	14/10/2019	Diligent	
Working Meal	CIO	50	11/10/2019	OPTrust	
Working Meal	Head	50	11/10/2019	GRC Partners	
Other	Head	120	8/10/2019	PWC	Meals at Sovereign Wealth and Pension Fund Risk and Audit Forum

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	CEO	50	8/10/2019	CDPQ	
Working Meal	CIO	50	8/10/2019	CDPQ	
Working Meal	Head	50	8/10/2019	CDPQ	
Working Meal	Manager	50	8/10/2019	CDPQ	
Working Meal	Board Member	50	8/10/2019	CDPQ	
Working Meal	Board Member	50	8/10/2019	CDPQ	
Working Meal	Board Member	50	8/10/2019	CDPQ	
Working Meal	Board Member	50	8/10/2019	CDPQ	
Working Meal	Board Member	50	8/10/2019	CDPQ	
Working Meal	CIO	50	8/10/2019	CDPQ	
Working Meal	CEO	50	8/10/2019	CDPQ	
Working Meal	Head	50	8/10/2019	CDPQ	
Working Meal	Manager	50	8/10/2019	CDPQ	
Drinks	Manager	50	4/10/2019	Direct Capital	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	A to GM Corporate Strategy / GM Finance and Risk	50	4/10/2019	Diligent	
Working Meal	GM	50	3/10/2019	Westpac	
Working Meal	GM	50	3/10/2019	Westpac	
Working Meal	Senior Analyst	50	3/10/2019	CDPQ	
Working Meal	Portfolio Manager	50	3/10/2019	CDPQ	
Working Meal	Senior Investment Strategist	50	3/10/2019	CDPQ	
Working Meal	Analyst	50	3/10/2019	CDPQ	
Working Meal	Head	175	2/10/2019	Ambassador of France to New Zealand	
Working Meal	Head	100	30/09/2019	AIMCo	
Working Meal	Senior Portfolio Risk Analyst	100	30/09/2019	AIMCo	
Other	Head	7,500	27/09/2019	United Nations University Centre	Travel expenses and meals for a conference to New York for a staff member who is a

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
					Commissioner of the Financial Sector Commission
Working Meal	Manager	100	26/09/2019	BCA	
Working Meal	Portfolio Manager	100	26/09/2019	BCA	
Other	Head	100	25/09/2019	Russell McVeagh	General Counsel Forum
Working Meal	Senior Investment Strategist	100	24/09/2019	RIAA	
Working Meal	Head	100	24/09/2019	RIAA	
Other	Senior Tax Advisor	250	24/09/2019	KPMG	Meals at KPMG Global SWF and Pension Fund Tax Directors Forum
Other	Head	250	24/09/2019	KPMG	Meals at KPMG Global SWF and Pension Fund Tax Directors Forum
Working Meal	Analyst	50	24/09/2019	Kiwi Wealth	
Other	Senior Tax Advisor	300	23/09/2019	Deloitte	Dinner
Working Meal	Head	50	21/09/2019	CDPQ	
Working Meal	GM	50	19/09/2019	Northern Trust	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Manager	50	19/09/2019	Northern Trust	
Other	GM	50	19/09/2019	HSBC	HSBC Chief Economist and Head of Strategy presentation
Working Meal	Senior Analyst	200	17/09/2019	The a2 Milk Company	
Working Meal	Manager	200	17/09/2019	The a2 Milk Company	
Working Meal	CIO	100	17/09/2019	Bridgewater	
Working Meal	Head	100	17/09/2019	Bridgewater	
Other	GM	100	16/09/2019	Russell McVeagh	Drinks/nibbles at Counsel Summit
Other	Head	100	16/09/2019	Russell McVeagh	Drinks/nibbles at Counsel Summit
Working Meal	GM	50	16/09/2019	MBIE	
Working Meal	Senior Tax Advisor	50	16/09/2019	Hogan Lovells	
Working Meal	CIO	50	13/09/2019	Catherine Pollock, 36 South	
Working Meal	Head	100	13/09/2019	Australian High Commission	
Other	Head	50	12/09/2019	Tax Traders	Drinks and nibbles at World Vision Fundraising event

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	GM	50	12/09/2019	NZX	NZX evening event
Working Meal	GM	100	12/09/2019	Northern Trust	
Other	GM	50	12/09/2019	MBIE	Lunch at VCF/MBIE
Other	Head	100	12/09/2019	KPMG	Nibbles/drinks at World Vision NZ event
Working Meal	GM	100	12/09/2019	EY	
Working Meal	Head	50	11/09/2019	CDPQ	
Working Meal	Head	100	10/09/2019	PSP	
Working Meal	Legal Counsel	50	10/09/2019	Goal Group	
Working Meal	Senior Operations Analyst	50	10/09/2019	Goal Group	
Working Meal	CIO	50	10/09/2019	AQR	
Working Meal	GM	100	9/09/2019	Northern Trust	
Working Meal	CIO	100	9/09/2019	Northern Trust	
Working Meal	Manager	100	9/09/2019	Northern Trust	
Working Meal	Board Member	100	9/09/2019	Northern Trust	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Senior Operations Analyst	100	9/09/2019	Northern Trust	
Working Meal	Senior Investment Strategist	100	9/09/2019	DWS	
Working Meal	Senior Investment Strategist	100	9/09/2019	DWS	
Other	Senior Advisor	50	4/09/2019	Samoa National Provident Fund	Dinner
Working Meal	Senior Advisor	50	4/09/2019	Samoa National Provident Fund	
Working Meal	CEO	50	4/09/2019	Samoa National Provident Fund	
Working Meal	Senior Advisor	50	3/09/2019	Samoa National Provident Fund	
Working Meal	CEO	50	3/09/2019	Samoa National Provident Fund	
Other	Senior Advisor	100	3/09/2019	Samoa National Provident Fund	Dinner
Other	CEO	100	3/09/2019	Samoa National Provident Fund	Dinner
Other	GM	50	3/09/2019	Bloomberg	The Bloomberg Address with The Hon. Grant Robertson
Working Meal	Analyst	1,300	1/09/2019	PFP and Chinese customers/port authorities	Lunches and dinners for 5 days



**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Manager	1,300	1/09/2019	PFP and Chinese customers/port authorities	Lunches and dinners for 5 days
Working Meal	Legal Counsel	50	29/08/2019	Webb Henderson	
Other	Manager	150	29/08/2019	IceHouse Angels	Dinner and drinks at Annual Showcase Event
Working Meal	CEO	50	29/08/2019	Deloitte	
Working Meal	Legal Counsel	50	27/08/2019	Russell McVeagh	
Working Meal	Legal Counsel	50	27/08/2019	Russell McVeagh	
Working Meal	Legal Counsel	50	27/08/2019	Russell McVeagh	
Drinks	GM	50	23/08/2019	Webb Henderson	
Working Meal	CIO	100	21/08/2019	Tony Togher at CFS	
Drinks	GM	50	20/08/2019	Goldman Sachs	
Drinks	Manager	50	16/08/2019	Russell Property Group	
Drinks	Analyst	50	16/08/2019	Russell Property Group	
Drinks	Senior Investment Strategist	50	16/08/2019	Russell Property Group	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Drinks	Senior Advisor	50	16/08/2019	Russell Property Group	
Drinks	Head	50	16/08/2019	Russell Group	
Working Meal	CIO	50	16/08/2019	Auckland University	
Working Meal	CIO	50	15/08/2019	Principal Global Investors	
Working Meal	Manager	50	15/08/2019	Principal Global Investors	
Drinks	Analyst	50	15/08/2019	Forsyth Barr	
Drinks	Analyst Direct Investments	50	15/08/2019	Forsyth Barr	
Drinks	Analyst Direct Investments	50	15/08/2019	Forsyth Barr	
Drinks	Analyst	50	15/08/2019	Forsyth Barr	
Drinks	Analyst	50	15/08/2019	Forsyth Barr	
Drinks	Analyst	50	15/08/2019	Forsyth Barr	
Working Meal	Analyst	50	15/08/2019	Blackrock	
Working Meal	Head	50	15/08/2019	Blackrock	
Working Meal	Senior Tax Advisor	50	14/08/2019	TMNZ	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Manager	100	13/08/2019	Pioneer Capital	
Working Meal	Legal Counsel	100	13/08/2019	Morrison & Co	
Working Meal	Senior Communications Strategist	100	13/08/2019	Morrison & Co	
Working Meal	Legal Counsel	100	13/08/2019	Morrison & Co	
Other	Head	100	13/08/2019	Morrison & Co	MCO Annual Meeting & Dinner
Working Meal	Analyst	100	13/08/2019	Morrison & Co	
Working Meal	Analyst	100	13/08/2019	Morrison & Co	
Working Meal	Portfolio Manager	50	9/08/2019	Citi	
Working Meal	Head	50	9/08/2019	Citi	
Working Meal	Manager	100	8/08/2019	New Ground Capital	
Working Meal	Portfolio Manager	50	8/08/2019	Goldman Sachs	
Working Meal	Head	50	8/08/2019	Goldman Sachs	
Drinks	GM	50	5/08/2019	Shortland Street Chambers	
Other	Senior Advisor	50	5/08/2019	Carlyle	Taxi

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Senior Advisor	50	5/08/2019	Carlyle	
Working Meal	Senior Advisor	50	1/08/2019	PWC	
Working Meal	CEO	50	31/07/2019	SSC	
Working Meal	Board Member	50	31/07/2019	SSC	
Working Meal	Head	100	31/07/2019	NSW T-Corp	
Working Meal	GM	100	31/07/2019	NSW T-Corp	
Other	GM	50	31/07/2019	BCA	Lunch
Working Meal	Head	100	30/07/2019	Morrison & Co	
Working Meal	Manager	100	30/07/2019	Morrison & Co	
Working Meal	CIO	100	30/07/2019	Morrison & Co	
Working Meal	CEO	50	29/07/2019	EY	
Working Meal	CIO	100	28/07/2019	Bridgewater	
Other	CEO	50	26/07/2019	Vector	Documentary Screening
Other	GM	50	25/07/2019	Global Women	Nibbles/drinks
Working Meal	Manager	100	24/07/2019	JP Morgan	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	Manager	100	24/07/2019	JP Morgan	
Working Meal	Portfolio Manager	100	24/07/2019	JP Morgan	
Working Meal	Senior Operations Analyst	50	22/07/2019	Northern Trust	
Working Meal	Portfolio Manager	50	22/07/2019	Northern Trust	
Working Meal	Head	50	19/07/2019	Northern Trust	
Working Meal	Senior Investment Strategist	50	19/07/2019	Northern Trust	
Working Meal	Senior Communications Strategist	100	19/07/2019	Australian Future Fund	
Working Meal	Senior Communications Advisor	100	19/07/2019	Australian Future Fund	
Working Meal	Manager	100	18/07/2019	Citibank	
Working Meal	Manager	100	18/07/2019	Citibank	
Working Meal	Portfolio Manager	100	18/07/2019	Citibank	
Working Meal	Investment Operations Analyst	100	18/07/2019	Citibank	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Senior Investment Strategist	100	17/07/2019	Northern Trust	Taxi
Working Meal	Senior Investment Strategist	50	17/07/2019	Northern Trust	
Other	Analyst	100	11/07/2019	Synlait Ltd	Lunch and dinner at Synlait Supplier Conference and Farmer Awards night
Other	Manager	100	11/07/2019	Synlait Ltd	Lunch and dinner at Synlait Supplier Conference and Farmer Awards night
Working Meal	Senior Tax Advisor	50	11/07/2019	Russell McVeagh	
Working Meal	Senior Tax Advisor	50	11/07/2019	Russell McVeagh	
Working Meal	Head	50	11/07/2019	Russell McVeagh	
Working Meal	Portfolio Manager	100	10/07/2019	Goldman Sachs	
Working Meal	Manager	100	10/07/2019	Goldman Sachs	
Working Meal	Analyst	50	10/07/2019	EuroNewCo	
Working Meal	CIO	170	10/07/2019	Bloomberg	
Working Meal	Head	170	10/07/2019	Bloomberg	

**C1 - Public**

<b>Hospitality accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date Received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Working Meal	CIO	180	9/07/2019	French Government	
Working Meal	Manager	50	5/07/2019	CDPQ	
Drinks	Analyst	50	4/07/2019	Russell Group	
Drinks	Manager	50	4/07/2019	Russell Group	
Drinks	Executive Assistant to CEO	50	4/07/2019	Russell Group	
Drinks	Manager	50	4/07/2019	Russell Group	
Drinks	Head	50	4/07/2019	Russell Group	
Drinks	Head	50	4/07/2019	Russell Group	
Working Meal	Senior Advisor	50	4/07/2019	Bell Gully	
Working Meal	Head	50	3/07/2019	Tangelo	
Working Meal	Senior Communications Advisor	50	3/07/2019	Tangelo	

## Guardians of New Zealand Superannuation - Gift Register 1 July 2019 – 30 June 2020

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and raffled as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Alcohol	CEO	50	29/05/2020	MinterEllisonRuddWatts	Added to Xmas Auction
Alcohol	CEO	75	11/03/2020	Webb Henderson	Added to Xmas Auction
Other	Manager	50	11/03/2020	Deaf Aotearoa	Added to Xmas Auction - Water bottle won in quiz
Other	GM	150	11/03/2020	Events Fund Business	Retained by Staff Member - Ticket to Investment Implementation Summit
Souvenir or Memento	Manager	50	9/03/2020	ServiceNow	Added to Xmas Auction
Souvenir or Memento	Head	50	5/03/2020	Borealis	Added to Xmas Auction



**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Head	75	5/03/2020	Deloitte	Added to Xmas Auction - Wine and cheese board and knives
Souvenir or Memento	Manager	50	5/03/2020	Mercury Energy	Added to Xmas Auction
Souvenir or Memento	Project Manager	50	4/03/2020	Microsoft	Added to Xmas Auction
Alcohol	Analyst Direct Investments	50	20/02/2020	INFINZ	Added to Xmas Auction
Other	Senior IT Support Analyst	50	18/02/2020	ServiceNow	Added to Xmas Auction - Branded socks
Other	Application Administrator	50	18/02/2020	ServiceNow	Retained by Staff Member - Branded socks
Other	IT Security Manager	100	17/02/2020	Recruitment Agency (HALO)	Retained by Guardians - Cake shared with team
Alcohol	Head	50	17/02/2020	Direct team intern	Added to Xmas Auction
Flowers	Travel Manager	50	14/02/2020	Orbit Travel	Retained by Staff Member
Book	Senior Advisor	50	20/01/2020	Aksia	Retained by Guardians

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Senior Investment Strategist	13	14/01/2020	Devon Funds Management	Retained by Guardians - Cherries shared with team
Other	Head	13	14/01/2020	Devon Funds Management	Retained by Guardians - Cherries shared with team
Other	Manager	13	14/01/2020	Devon Funds Management	Retained by Guardians - Cherries shared with team
Other	Senior Advisor	13	14/01/2020	Devon Funds Management	Retained by Guardians - Cherries shared with team
Other	Analyst, External Investments and Partnerships	772	14/01/2020	Leadenhall Capital Management	Retained by Staff Member - Guest pass to a conference
Book	CIO	50	7/01/2020	36 South Capital Advisors	Retained by Guardians
Gift Basket	GM	100	6/01/2020	PB Tech	Retained by Staff Member
Other	Senior Talent Acquisition Advisor	50	19/12/2019	Sysdoc	Added to Xmas Auction - Coffee cup
Other	Head	50	19/12/2019	Am&Co Amanda Millar	Added to Xmas Auction - Canvas print and DVD
Book	Manager	50	18/12/2019	JP Morgan	Added to Xmas Auction

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Senior Tax Advisor	25	18/12/2019	Tax Traders	Added to Xmas Auction - Coffee and chocolates
Other	Head	25	18/12/2019	Tax Traders	Added to Xmas Auction - Coffee and chocolates
Other	CIO	50	18/12/2019	Evestment	Added to Xmas Auction - Carry on cocktail kit
Alcohol	Head of HR Talent	50	17/12/2019	Massey University	Added to Xmas Auction
Other	Head	75	17/12/2019	SweeneyVesty	Added to Xmas Auction - Diffuser
Alcohol	Head	50	17/12/2019	SweeneyVesty	Added to Xmas Auction
Book	Head	50	17/12/2019	SweeneyVesty	Added to Xmas Auction
Alcohol	Senior Communications Advisor	75	17/12/2019	GRC Partners	Added to Xmas Auction
Other	Receptionist	50	17/12/2019	Total Group	Added to Xmas Auction - Honey box
Other	Senior Advisor	50	16/12/2019	Te Arawa Group Holdings	Retained by Guardians - Avocados shared with the team
Alcohol	Head	50	16/12/2019	GRC Partners	Added to Xmas Auction

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Gift Basket	Manager	75	16/12/2019	Pioneer Capital	Added to Xmas Auction
Alcohol	Manager	175	16/12/2019	Pioneer Capital	Added to Xmas Auction
Alcohol	Senior Communications Strategist	50	16/12/2019	UMR	Added to Xmas Auction
Alcohol	CEO	75	16/12/2019	Air NZ	Added to Xmas Auction
Alcohol	Head	25	16/12/2019	Toyota Finance NZ	Added to Xmas Auction
Alcohol	Portfolio Manager	25	16/12/2019	Toyota Finance NZ	Added to Xmas Auction
Gift Basket	Head	75	16/12/2019	Northern Trust	Added to Xmas Auction
Alcohol	Head	50	16/12/2019	Ngai Tahu	Added to Xmas Auction
Gift Basket	Manager	175	16/12/2019	FarmRight	Added to Xmas Auction
Souvenir or Memento	Manager	25	16/12/2019	Ngai Tahu Property	Added to Xmas Auction
Souvenir or Memento	Head	25	16/12/2019	Ngai Tahu Property	Added to Xmas Auction
Alcohol	Analyst	13	16/12/2019	Russell Property Group	Added to Xmas Auction

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Alcohol	Head	13	16/12/2019	Russell Property Group	Added to Xmas Auction
Alcohol	Legal Counsel	13	16/12/2019	Russell Property Group	Added to Xmas Auction
Alcohol	Manager	13	16/12/2019	Russell Property Group	Added to Xmas Auction
Other	Executive Assistant to CEO	50	13/12/2019	Air NZ	Added to Xmas Auction - Hand cream
Alcohol	Head	50	13/12/2019	UMR	Added to Xmas Auction
Alcohol	Head	75	12/12/2019	Cameron & Partners	Added to Xmas Auction
Alcohol	Head	50	12/12/2019	Russell Property Group	Added to Xmas Auction
Other	GM	50	12/12/2019	Datacom	Added to Xmas Auction
Alcohol	Manager	125	11/12/2019	Cameron & Partners	Added to Xmas Auction
Other	Travel Manager	50	10/12/2019	Orbit World Travel	Added to Xmas Auction - Chocolates
Accommodation	Manager	25	5/12/2019	Russell Property Group	Added to Xmas Auction
Accommodation	Head	25	5/12/2019	Russell Property Group	Added to Xmas Auction
Other	Accountant	50	5/12/2019	Theta	Retained by Guardians - Cake shared with team

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Head of HR Talent	25	5/12/2019	Six Degrees	Retained by Guardians - Cookies shared with the team
Other	HR Talent Coordinator	25	5/12/2019	Six Degrees	Retained by Guardians - Cookies shared with the team
Gift Basket	Senior Talent Acquisition Advisor	50	3/12/2019	Convergence Partners	Added to Xmas Auction
Souvenir or Memento	Head	75	2/12/2019	PwC	Added to Xmas Auction
Other	Senior Advisor	50	2/12/2019	Klein	Added to Xmas Auction - Picnic blanket
Other	Head	50	22/11/2019	Africa Investment Summit	Added to Xmas Auction - Dates
Other	Manager	50	15/11/2019	Mecury	Added to Xmas Auction - Umbrella and coffee cup
Souvenir or Memento	CEO	50	1/11/2019	Athem	Added to Xmas Auction
Other	Senior Advisor	50	30/10/2019	Paul Silvey (Squamish legal)	Retained by Recipient - Personal pendant
Other	Senior Advisor	50	30/10/2019	Paul Silvey (Squamish legal)	Retained by Staff Member - Trinket box
Other	Senior Advisor	50	29/10/2019	Kahnawake	Retained by Recipient - Honey

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Senior Advisor	50	29/10/2019	Marcia Krawll	Retained by Recipient - Honey
Other	Senior Advisor	50	29/10/2019	Kahnawake	Retained by Staff Member - Maple syrup and trinket
Gift Basket	Head	75	22/10/2019	NZX	Added to Xmas Auction
Other	Head	125	30/09/2019	AIMCo	Added to Xmas Auction - Sweater
Alcohol	CIO	50	24/09/2019	AUT Investment Club	Added to Xmas Auction
Souvenir or Memento	Head	13	20/09/2019	JP Morgan	Added to Xmas Auction
Souvenir or Memento	Manager	13	20/09/2019	JP Morgan	Added to Xmas Auction
Souvenir or Memento	Portfolio Manager	13	20/09/2019	JP Morgan	Added to Xmas Auction
Souvenir or Memento	Senior Software Developer	13	20/09/2019	JP Morgan	Added to Xmas Auction
Book	Head of HR Talent	50	16/09/2019	HFI	Retained by Staff Member
Other	CEO	75	12/09/2019	Alaska Perm Fund	Added to Xmas Auction - Puffer jacket

**C1 - Public**

<b>Gift accepted</b>	<b>Position of staff member who accepted</b>	<b>Estimated value (\$)</b>	<b>Date received</b>	<b>Name of the organisation or individual who paid for/gave the gift or hospitality</b>	<b>Notes</b>
Other	Analyst	25	5/09/2019	PPF/Chinese log customer	Retained by Guardians - Cake shared with team
Other	Manager	25	5/09/2019	PPF/Chinese log customer	Retained by Guardians - Cake shared with team
Conference	CEO	150	3/09/2019	Samoa National Provident Fund	Retained by Recipient - Earrings
Souvenir or Memento	CEO	25	3/09/2019	Samoa National Provident Fund	Retained by Staff Member
Souvenir or Memento	Senior Advisor	25	3/09/2019	Samoa National Provident Fund	Retained by Staff Member
Alcohol	Manager	75	20/08/2019	Rubicon	Added to Xmas Auction
Other	Project Coordinator	100	16/08/2019	Potentia Recruitment	Retained by Guardians - Cake shared with team
Alcohol	CEO	50	14/08/2019	Chapman Tripp	Added to Xmas Auction
Other	GM	50	31/07/2019	Alberta Investment Management Co	Added to Xmas Auction - Drink bottle
Other	Senior Communications Advisor	75	26/07/2019	Insight Media	Added to Xmas Auction - Gift card



**C1 - Public**

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Alcohol	Senior Investment Strategist	50	4/07/2019	Australasian Investor Relations Association (AIRA)	Added to Xmas Auction
Speaking Engagement	Head of HR Talent	50	2/07/2019	Massey University	Retained by Staff Member - Chocolates